

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



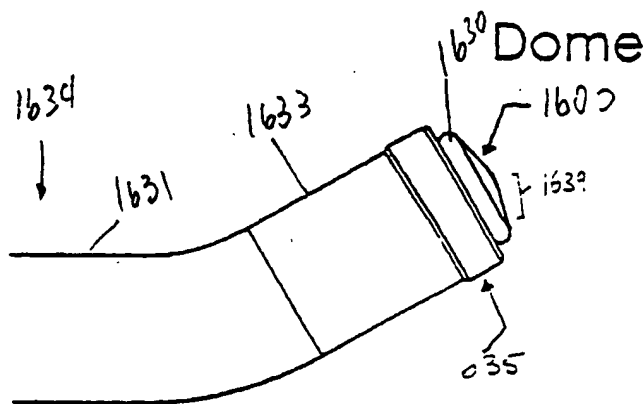
(43) International Publication Date
4 January 2001 (04.01.2001)

PCT

(10) International Publication Number
WO 01/00099 A1

- (51) International Patent Classification⁷: A61B 18/14 94127 (US). CARRANZA, J., Remberto [US/US]; 101 Evergreen Avenue, Daly City, CA 94014 (US).
- (21) International Application Number: PCT/US00/15359
- (22) International Filing Date: 1 June 2000 (01.06.2000)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
09/340,065 25 June 1999 (25.06.1999) US
- (63) Related by continuation (CON) or continuation-in-part (CIP) to earlier application:
US 09/340,065 (CIP)
Filed on 25 June 1999 (25.06.1999)
- (71) Applicant (for all designated States except US): ORATEC INTERVENTIONS, INC. [US/US]; 3700 Haven Court, Menlo Park, CA 94025 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SHARKEY, Hugh, R. [US/US]; 150 Normandy Lane, Woodside, CA 94062 (US). FANTON, Gary, S. [US/US]; 265 Golden Oak Drive, Portola Valley, CA 94028 (US). ASHLEY, John, A. [US/US]; 184 Burlwood Drive, San Francisco, CA
- (74) Agent: BRUCKNER, John, J.; Wilson Sonsini Goodrich & Rosati, 650 Page Mill Road, Palo Alto, CA 94304-1050 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:
— With international search report.
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: ELECTRODE FOR ELECTROSURGICAL ABLATION OF TISSUE



(57) Abstract: An electrosurgical probe is provided to vaporize, cut, coagulate or remove tissue from a body structure. A method of surgically treating a mammal includes providing a surgical instrument including a length of shaft and an active electrode having a curved current-density edge with at least one convex surface; and ablating a tissue surface with said surgical instrument.

WO 01/00099 A1

ELECTRODE FOR ELECTROSURGICAL ABLATION OF TISSUE

BACKGROUND OF THE INVENTION

5 The invention relates to surgical systems applying thermal energy to biological tissue to modify the characteristics of the tissue. More particularly, the invention is directed to electrosurgical probes utilizing radiofrequency (RF) energy to cut, coagulate, ablate and/or vaporize the tissue during a medical procedure for treatment and therapy.

10 Arthroscopic surgery is becoming increasingly popular, because it generally does less damage, less invasive and safer than open procedures and produces less scarring in and around joints. This type of surgery further results in a faster healing response and a quicker return of the patient to full productivity while reducing costs of open surgical procedures.

15 Nevertheless, arthroscopic surgery has its limitations. The surgeon must operate through a narrow tube, which is awkward. Only one probe can be used at a time. Often the viewing camera is positioned at an angle which is different from the surgeon's normal gaze. This contrasts with "open surgery" where the surgeon has relative ease of viewing the surgical site and can freely move both hands, even
20 utilizing the hands of colleagues.

 In view of such difficulties of arthroscopic surgery, it is understandable that laser, microwave and radiofrequency (RF) probes which simultaneously cut and coagulate are preferred. However, current probes are poorly adapted to certain activities, such as cutting narrow tendons or ligaments. Current probes have convex,
25 pointed and/or flat tips. Other probes such as those utilizing laser energy delivery systems often provide pointed tips with curved configurations. with current probes, the surgeon has little control when pressing against a tough ligament. Now as the surgeon cuts through one portion of the ligament, the probe slips out of position.

The surgeon must reapproximate the probe and cut again, an inefficient process. Unless the surgeon is able to stop pressure at exactly the right time, the probe may slip and cut an adjacent structure. Because the surgeon must repeatedly reapproximate and cut the ligament, the surgeon has difficulty in cleanly ablating the
5 ligament or tendon. Thus, there are certain procedures that surgeons still prefer to perform in an open setting which is conventionally termed an "open" procedure. Unfortunately, this often results in large scars, long convalescence, and even more irritation of an already irritated joint.

What is needed is a probe that can simultaneously direct the tendon to the
10 energy source (e.g., RF) and apply RF to cleanly and smoothly ablate the tendon or ligament. The advantage is that some procedures that have been considered too awkward or difficult to perform by arthroscopy can now be performed more effectively using arthroscopic devices.

Moreover, conventional and more complex surgical probes and lasers are not
15 suitable for critical and precise shaping and sculpting of body tissues such as articular cartilage, ligaments and tendons. Target tissues subject to ablation and removal have many different configurations and structure. These medical device probes and lasers have further disadvantages of being configured for simple ablation without regard to the contour and structure of the target tissue. By universally
20 applying RF energy to the site, non-target tissue may be affected by collateral thermal effects.

For these reasons, it would be desirable for an apparatus and method to selectively cut and ablate body tissue during a medical procedure such as arthroscopic surgery. The apparatus and method should be configured and used for
25 effective cutting and ablation of target tissue while giving the surgeon a precise and controlled surface for scraping tissue from bone or sculpting tissue within the surgical field for appropriate treatment and therapy. Such apparatus and methods should also be applicable in a wide variety of medical procedures on a wide range of

different bodily tissues. The apparatus should also be simple and less expensive to manufacture while being compatible with conventional systems and procedures.

SUMMARY OF THE INVENTION

5 One embodiment of the invention is based on a surgical apparatus, comprising: an energy application tip including: a length of shaft; and an active electrode having a curved current density edge with at least one convex surface.

Another embodiment of the invention is based on a method of surgically treating a mammal in need thereof, comprising: providing a surgical instrument
10 including a length of shaft and an active electrode having a curved current density edge with at least one convex surface; and ablating a tissue surface with said surgical instrument.

Another embodiment of the invention is based on an electrosurgical system for directing thermal energy to tissue is disclosed which has a power supply and a
15 probe. The probe is coupled to the power supply by a cabling means and has a handle and a shaft including a distal end and a proximal end. The shaft has at least one lumen for an active electrode electrically coupled to the power supply, the active electrode being positioned on the distal end of the probe, the active electrode having an energy application surface; and an indifferent electrode electrically coupled to the
20 power supply.

These, and other, goals and embodiments of the invention will be better appreciated and understood when considered in conjunction with the following description and the accompanying drawings. It should be understood, however, that the following description, while indicating preferred embodiments of the invention
25 and numerous specific details thereof, is given by way of illustration and not of limitation. Many changes and modifications may be made within the scope of the invention without departing from the spirit thereof, and the invention includes all such modifications.

BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a lateral view of internal structures within the glenohumeral joint.

FIG. 2 is a medial side view of the knee joint.

5 FIG. 3 is an anterior view of the knee joint with the patella removed.

FIG. 4 is a perspective view of a concave cutting tip of a RF probe.

FIG. 5 is a perspective view of the concave cutting tip of FIG. 4 inserted into the shaft portion of the RF probe.

10 FIGS. 6A-B are side views of the concave cutting tip of the RF probe of FIG. 4.

FIG. 6C is an alternative embodiment of the concave cutting tip of the RF probe.

FIGS. 7-11 show different monopolar and bipolar arrangements of the electrodes on the concave cutting tip.

15 FIGS. 12A-C show an overview of a RF probe, operating cannula and a side, cross-sectional view of the shaft portion of the RF probe.

FIG. 13A illustrates an alternate embodiment of a probe with cutting tip.

FIG. 14A is a simplified, side view of the probe according to the invention;

FIGS. 14B-14F show alternative tip configurations of the probe.

20 FIGS. 15A-C are isometric, top and cross-sectional views, respectively, showing one embodiment of an active electrode and an energy application tip of the probe according to the invention.

FIGS. 15D-F are isometric, top and cross-sectional views, respectively, showing an alternate embodiment of the active electrode.

25 FIGS. 15G-I are isometric, top and cross-sectional views, respectively, showing an alternate embodiment of the active electrode and distal tip of the probe.

FIGS. 16A-F are side and isometric, perspective views of different embodiments of the probe according to the invention.

FIG. 17A is a cross-sectional view of one of the distal energy application tips and active electrode of the probe according to the invention.

FIGS. 7B-C are side views of different embodiments of the probe.

FIG. 18A is a cross-sectional view of an alternative embodiment of the distal energy application tip and active electrode of the probe according to the invention.

FIG. 18B is an isometric perspective view of the probe.

FIGS. 19A-B are side, cross-sectional views of an alternative embodiment of the distal energy application tip and active electrode of the probe according to the invention.

FIGS. 20A-B are side, cross-sectional and isometric perspective views, respectively, of the probe of the invention.

DESCRIPTION OF SPECIFIC EMBODIMENTS

The invention arose out of an observation that, during an arthroscopy procedure, the surgeon could not access and cut cleanly the coracoacromial (CA) ligament shown in FIG. 1. This procedure is done in conjunction with a subacromial decompression, which makes a painful shoulder easier to move. If the cutting probe slips, the joint capsule could be damaged and even punctured, which would exacerbate an already painful joint. Thus, a concave rounded tip was designed which would center and position ligaments and could even be used to lift the ligament away from adjacent structures and avoid harm thereto.

This new style of tip has the advantage of being able to mechanically "gather" or constrain ligaments, tendons and other tissue into its center. This reduces the natural tendency of current cutting probes to slide off ligaments and tendons. This helps save time in that the surgeon is not repeatedly trying to center or approximate the probe tip on the target tissue.

FIG. 1 shows a lateral (side) view of a glenohumeral joint 100 and in particular the Coracoacromial ligament 102, the Superior glenohumeral ligament

104, the middle glenohumeral ligament 106, the Subscapularis Tendon 108 (joined to capsule), the Inferior Glenohumeral ligament 110, the Glenoid "cup" with cartilage 112, the Joint Capsule 114, and the Bursa 116. The Joint Capsule 114 is comprised of 3 glenohumeral ligaments and surrounding capsule. The Bursa 116
5 lubricates and acts like a shock absorber, and is usually removed when an SA decompression is performed. The area 118 is the area at which impingement usually occurs.

FIG. 2 shows a medial (side) view of a patellofemoral or knee joint 200, and in particular the Medial Collateral Ligament 202, the patella 204, the Medial Lateral
10 Retinaculum 206, an incision line 208 for lateral release and the Patellar Ligament 210.

FIG. 3 illustrates an anterior view of the knee joint 200 with the patella removed. The bones comprising the knee joint 200 are the femur 240, the fibula 250 and the tibia 260. The joint is connected by ligaments, in particular, the anterior
15 cruciate ligament 220 and the posterior cruciate ligament 230. As the knee is flexed, the lateral condyle of the femur 241 and the medial condyle of the femur 242 articulate and pivot on the meniscal surfaces of the tibia, in particular the lateral meniscus and the medial meniscus, respectively. The meniscal surface comprises articular meniscal cartilage which functions as the shock absorber for the knee.

20 While coracoacromial surgery was the inspiration for this invention, use of this concave probe is not limited to a particular ligament or tendon, or even to those soft tissues. The concave cutting probe is adapted to cut all types of tendons, ligaments and soft tissues more effectively than blunt or rounded tip probes. As another example whose anatomy is shown in FIG. 2, the lateral retinaculum 206
25 sometimes must be severed in some types of patellar dislocation or malignment, when the patella is not properly tracking in the trochlear notch. Severing the lateral retinaculum is called lateral retinacular release. With this concave-tip probe, the surgeon is able to position the ligament and sever it cleanly.

The probe of the invention may also be used in the knee joint during a notchplasty procedure for anterior cruciate ligament repair. The probe configuration of the invention, in particular the energy application tip configuration is used to remove and scrape the condylar surfaces of the femur to increase the interchondylar notch to free the anterior cruciate ligament from impingement. The anterior cruciate ligament may also be cut and removed using the probe and a patellar tendon graft may be performed.

Turning now to the probe itself, FIG. 4 shows a concave edge 308 on a distal tip 304 of an RF probe head 300. This concave edge is designed to constrain tissue, tendons and ligaments. The concave curve has lateral edges 306 which are rounded, so that the probe does not "snag" on unwanted tissue as the surgeon maneuvers the probe into position. The cylindrical portion 302 of the distal tip 304 fits inside probe sheath 410, as shown in FIG. 5. The distal tip may have a variety of configurations, as shown in FIGS. 4-11. FIG. 5 shows probe 400 having a concave edge with less prominently rounded lateral edges. FIGS. 5-7 show a distal tip which is angled with respect to the sheath 410. This embodiment offers the advantage of helping the surgeon get around corners and ablate in narrow or confined spaces. FIG. 6A shows an angled probe 500 consisting of a cylindrical portion 502 with a distal tip 504 having a concave edge 508 and lateral edges 506. FIG. 6B shows a side view of angled probe 500.

FIG. 6C shows an angled probe 600 with a specialized surface (not heated) which imparts a third function to the probe, namely scraping tissue. Probe 600 is comprised of a cylindrical portion 602, and a distal tip 604 which has a concave edge 608 and lateral edges 606. The surface of the flat portion of distal tip 604 contains rasps 616 which can be used for scraping tissue.

For cutting tissue, the distal tip has a first electrode and a second electrode located on lateral edges 606. The first and second electrodes can be operated in

bipolar or monopolar mode. Bipolar is preferred and examples of "Taser" type electrodes are shown in FIGS. 7 and 8.

FIG. 7 shows a distal tip 700 having a three-pole, bipolar arrangement where, in addition to two side positive electrodes 702 and 706, there is a central negative electrode 704. FIG. 8 shows a distal tip 800 wherein two electrodes 802 and 806 are positioned in two small sites on the lateral edges of the concave curve. In this particular embodiment, electrode 802 is positive and electrode 806 is negative

FIGS. 9-11 show exemplary monopolar arrangements. In FIG. 9, a single monopolar positive electrode 902 occupies a wide portion of the concave curve of distal tip 900. A return path 904 is provided and is attached to the patient's body to complete the circuit. In FIG. 10, there is one small active electrode 1006 located centrally on distal tip 1000. In FIG. 11 there are two active electrodes 1102 and 1106 in lateral positions on distal tip 1100. Suffice it to say that quite a variation in electrode design is contemplated for this concave curve.

To maintain the appropriate temperature for cutting tissue, the distal tip of the probe may also be equipped with a thermocouple, but such a thermocouple is optional in the concave-tipped probe.

FIG. 12 illustrates a simplified view of the RF probe of the invention. FIG. 12A is an illustration of a conventional cannula utilized in one embodiment of the invention. Cannula 1202 consists of a guide 1224 with an opening 1226 at its distal end. Cannula 1202 is attached at its proximal end to introducer 1222. Instrument port 1228 is located at the proximal end for the introduction of the surgical probe. Cannula 1202 may also have an extension 1232 with a fluid port 1234. As illustrated in FIG. 12B, surgical instrument 1200 consists of a handle 1212 to which is attached a power cord 1210, a probe shaft 1214 and a probe tip 1216. During introduction into the body, a blunt insert or obturator (not shown) is inserted through instrument port 1228. Cannula 1202 is inserted into the surgical site on the patient

functioning as a trocar. Surgical instrument 1200 is then inserted into cannula 1202 through instrument portal 1228 so that the tip 1216 protrudes from the opening 1226 in cannula 1202.

FIG. 12C illustrates a side, cross-section of the probe shaft 1214. Probe handle 1212 is connected to shaft tubing 1242. Shaft tubing insulator 1241 covers the shaft tubing. The shaft tubing insulator may be any biocompatible material such as Teflon or any other suitable material such as shrink tubing. Power wire 1260 is connected to a power supply (not shown) in the proximal portion of the probe and probe handle 1212. Power insulator 1267 covers and insulates power wire 1260.

10 The power insulator 1267 material is preferably a tubing such as Teflon or polyimide but may also include any other insulator material which would be known by a person skilled in the art such as a coating. Power wire 1260 connects the power supply to an active electrode (not shown) on the distal energy application tip 1250. The power wire may be stainless steel, titanium, tungsten, copper or any other

15 compatible and suitable conductor. A return wire 1261 connects an indifferent return electrode (not shown in FIG. 12) to the power supply. The energy application tip 1250 has an energy application surface 1255. The energy application surface 1255 is configured to have a variety of configurations such as concave, convex or concavo-convex for the delivery of thermal energy to the soft tissue site. Probe

20 shaft tubing 1242 may also have a bent portion 1251 which may be configured for easier access to narrow or confined joint spaces.

FIGS. 13A-B show an enlarged view of one embodiment of the tip 1510 of an electrosurgical instrument wherein two opposing arcuate segments 1504A and 1504B are compressed to form a probe tip 1216A at the distal end of probe 1214A.

25 In such an embodiment, swagging is used to compress the tip of the probe. Swagging forms a chisel 1514 that can be used in the surgical instrument of FIGS. 12 and 13 for RF ablation of tissue. Grinding applications can be added to the tip to provide for mechanical tissue ablation in addition to energy ablation. The core 1502

of probe 1214A can be either hollow or solid. This particular embodiment is illustrated as having an annular probe. Probe 1214A is coated in an insulating material which terminates prior to the tip 1510, leaving chisel 1514 exposed.

The surgical probe illustrated in FIGS. 13A-B provides various improvements over the prior art in allowing for precise hemostatic cutting and ablation of soft tissue in one convenient instrument which can be described as a chisel. The malleable probe tips can be configured as straight, angled or curved, for example, which provides for optimal access to specific anatomy and pathology. Unique tip designs improve tactile feedback for optimal control and access, and provide for improved tissue visualization with greatly reduced bubbling or charring.

Another embodiment of surgical probe of the invention is illustrated in FIGS. 14A-F. FIG. 14A illustrates a simplified side view of the surgical probe for the delivery of thermal energy to a tissue site. FIGS. 14B-F show various alternative embodiments of the energy application tip. The configuration of the probe shaft allows the surgeon to have better access and more selective control while in the operating environment. For example, FIG. 14D is particularly suitable for use in an arthroscopic acromioplasty wherein the coracoacromial ligament is cut and associated tendons are removed. The right angle of the energy application tip allows the surgeon to scrape target tissue from the underside of the acromion. The various other configurations and geometries of the energy application tip as shown in FIGS. 14B-14F allow the surgeon to operate in a variety of arthroscopic procedures to access various joint geometries within the body. The probe may also be malleable to allow the surgeon to adjust the distal tip for an individual and procedure.

FIGS. 15A-15C illustrate one embodiment of the distal energy application tip of the probe according to the invention. The energy application surface comprises an active electrode 1520 in the form of a "cross" for the delivery of electrical energy to a tissue site during a surgical procedure. The electrical characteristics of this cross-shape design and configuration of the active electrode

1520 condenses the electrical current density at defined current density edges 1529 along cross-shape on the distal tip. The indifferent electrode 1523 is also located near the distal energy application tip such that a unipolar arrangement for RF energy delivery is described. An insulating collar 1525 separates active electrode 1520
5 from indifferent electrode 1523.

Turning to FIG. 15C, power wire 1560 delivers energy from the power source to the active electrode 1520. Power insulator 1567 insulates the power wire inside the probe and between the shaft tubing and electrodes. Insulating collar 1525 insulates the active electrode 1520 from the indifferent electrode 1523 which may be
10 formed from a portion of the shaft tubing or a separate electrode on the distal tip. The current travels between the active electrode and the indifferent electrode through the irrigation solution or through the tissue.

For example, it will be appreciated by one skilled in the art that in an alternating current system, the generated and delivered RF energy will alternate
15 between the active electrode 1520 and the return indifferent electrode 1523. By using a larger surface area return indifferent electrode in proportion to the active electrode, the RF energy is diffuse in the area of the indifferent electrode. When the energy is applied to the distal energy application tip, heat is generated at the sharp edges 1529 of active electrode 1520 activating the entire electrode surface while
20 heat is minimized at the return indifferent electrode 1523 through diffusion. Because electrical current condenses and is concentrated on a smaller area, heat is generated at a directed and desired area such as the target tissue in contact with the energy application tip. This allows the surgeon to cut and ablate the target tissue in a more efficient manner when the tissue causes an increase in impedance between
25 the two electrodes. The cross configuration and edges 1529 also provides a specific mechanical surface for a physical scraping function of the active electrode. The tissue and standard irrigation in the surgical joint complete the circuit between the two electrodes and the tissue is mechanically and thermally cut and ablated allowing

the surgeon to vaporize the target tissue such as when removing a soft cartilage tissue from bone.

Thus, the distal energy application tip of the invention may be further described as “unipolar” or “sesquipolar” whereby one electrode has a different electrical potential than the other electrode. In a true bipolar system, each electrode would have equal potentials and equal effects when electrical energy is applied to the active electrodes. In the invention, the active electrode generates heat by condensing the RF energy at the sharp edges causing cutting, ablation and vaporization while the return indifferent electrode generates little heat. It will also be appreciated that due to the high frequency current, these distal energy application tips and active electrode designs may be used in conventional monopolar surgical systems where the indifferent electrode is located on the patient’s body.

FIGS. 15D-F illustrate another embodiment of the distal energy application tip 1500 of the invention wherein the active electrode 1530 is constructed in a “cloverleaf” configuration. As described in FIG. 15A, the RF energy is condensed and directed through current density edges 1529 towards the target tissue. Active electrode 1530 has the mechanical advantage of a greater scraping ability by providing a sharp current density edge 1539. Power wire 1560 is covered with power insulator 1567 and delivers energy to the active electrode 1530. It will be appreciated that all current density edges will have the same potential whereby the ablation and vaporization effect is uniform at all points.

FIGS. 15G-I illustrate another embodiment of the distal energy application tip 1500 of the invention wherein the active electrode 1540 is an “ashtray” configuration. As described in FIG. 15A, the RF energy is condensed and directed through current density edges 1549 towards the target tissue. Active electrode 1540 has a further mechanical advantage of a greater scraping ability by providing a sharp current density edge 1539 while having a thermal energy effect at the current density edges 1539. Power wire 1560 is covered with power insulator 1567 and delivers

energy to the active electrode 1540. It will be appreciated that all current density edges will have the same potential whereby the ablation and vaporization effect is uniform at all points.

FIGS. 15G-I illustrate another embodiment of the invention wherein the distal energy application tip of the probe is described as an "ashtray". As described in FIG. 15A, the RF energy is condensed and directed through current density edges 1549 towards the target tissue. Active electrode 1540 has a further mechanical advantage of a greater scraping ability by providing a sharp current density edge 1539 while having a thermal energy effect at the current density edges 1539. Power wire 1560 is covered with power insulator 1567 and delivers energy to the active electrode 1540. It will be appreciated that all current density edges will have the same potential whereby the ablation and vaporization effect is uniform at all points. As the RF power is delivered to the active electrode, the target tissue is uniformly cut and removed from the joint. FIG. 15I also shows the power wire 1560 alternatively coupled to the distal tip 1540 by means of an intermediate couple wire 1580.

It will also be appreciated that the active electrode can be brazed, crimped soldered, welded or mechanically attached by means of a spring clip to the power wire. One alternative attachment means includes providing an active electrode with a hole. When the electrode is heated, the hole expands and the power wire is inserted into the hole. As the electrode tip cools, the diameter of the hole will decrease thereby effectively crimping the electrode tip to the power wire. Further, the active electrode may consist of titanium, tungsten and their alloys or stainless steel and the power wire may consist of stainless steel in a variety of tensile strengths, titanium, copper or any suitable alloys thereof.

FIG. 16A-B show side and perspective views of ashtray electrode configured for sculpting soft tissue attached to bone or any other soft tissue within the body. The distal energy application tip is arcuate such that the shaft tubing is bent between 0 and 90 degrees. The shaft 1624 is preferably 30 degrees to provide an angle for

sculpting the soft tissue by ablation. In this embodiment, the indifferent electrode 1623 is formed from the distal portion of the shaft tubing and electrically connected to the power supply to act as the return in a unipolar configuration.

As shown in FIG. 16A, the current density edge 1629 has cutouts or gaps
5 whereby the RF energy is focused primarily on the external edges of the active electrode thereby heating up specific areas of target tissue adjacent to the probe. As the power level of the RF energy increases, the target tissue is cut and ablated in a consistent pattern to vaporize the tissue along the current density edge 1629 as the surgeon manipulates the probe within the surgical field.

10 In FIGS. 16C-D, the active electrode is shown in an alternative embodiment having a dome structure with a convex surface for ablation and vaporization. Active electrode 1630 has a simple base with a dome defining a broad surface current density edge. As the RF power is applied to the active electrode, the target tissue is sculpted in a smooth and consistent ablation. Surgical procedures using a smoothing
15 ablation and vaporization include meniscal repair and capsulotomy where extra cartilage and ligament material can irritate the joint if it is not cut out and removed by ablation and vaporization.

FIGS. 16E-F illustrate an alternative embodiment wherein the dome of FIGS. 16C-D has a dimple within the convex dome structure. As the vaporization occurs,
20 constant bubble streams with small bubbles resulting from cellular destruction obscure the operating field and scope where the surgeon views the arthroscopic procedure. The dimple allows the bubbles to collect and form a larger bubble which is then released from the void defined by the dimple at an infrequent rate. This allows the surgeon to have an unobstructed view of the tip while still allowing the
25 energy application tip 1600 to deliver RF energy to the active electrode so as to effect ablation. Current density edges 1649 provide for a condensation of RF energy to heat up the target tissue thereby causing ablation and vaporization.

Turning to FIG. 17A, the distal energy application tip 1700 is illustrated in a detailed cross-section. The active electrode 1710 is provided in an ashtray configuration. The current density edges 1719 are located on a distal portion of the active electrode. Gap portions 1712 allow the RF energy to be condensed and concentrated at the current density edges. The active electrode 1710 is inserted into an insulating collar 1715 for attachment to the distal end of the shaft tubing 1742.

In a unipolar setting, the indifferent electrode 1742 is located near the end of the distal tip of the shaft tubing 1742. Alternatively, the indifferent electrode 1742 may be formed from a portion of the shaft tubing 1742 thereby allowing for a simpler construction. Shaft insulation 1741 insulates the shaft in conjunction with insulating collar 1715. Power wire 1760 delivers the RF energy to the active electrode from the power supply and is located within the shaft tubing lumen 1780. Return wire 1761 is coupled to indifferent electrode 1713 to function as a return to the power supply.

FIGS. 17B-C show alternative embodiments of the shaft with the ashtray active electrode. FIG. 17B illustrates the ashtray active electrode being configured for sculpting the target tissue. The active electrode 1720 with current density edges 1729 is located on the distal portion of shaft 1724. The indifferent electrode 1723 is separated from active electrode 1720 by insulating collar 1725.

FIG. 17C illustrates the ashtray active electrode being configured for scraping target tissue from bone. The active electrode 1730 with current density edges 1739 is located on the distal portion of shaft 1734. The indifferent electrode 1733 is separated from active electrode 1730 by insulating collar 1735.

FIG. 18A-B shows a detailed cross-section and perspective view of the distal energy application tip 1800 with a cross-configured active electrode 1810. In an exemplary embodiment, the active electrode 1810 is insulated from indifferent electrode 1813. The indifferent electrode 1813 may also be formed from a portion of the shaft tubing 1842. Power wire 1860 located within the shaft tubing lumen

1880 delivers RF energy to the active electrode 1810. The current density edges 1819 provide a surface for the current to condense causing ablation and vaporization of the target tissue. Shaft insulation 1841 protects and insulates shaft tubing 1842.

FIG. 19A-B illustrate another embodiment of the active electrode wherein
5 the distal energy application tip 1900 is configured for grating. In this embodiment, active electrode 1910 is a ring electrode with a continuous current density edge 1919. In this configuration, the active electrode defines a lumen 1985 with insulator block 1962 forming the back wall portion of the lumen. Insulator collar 1915 insulates the active electrode 1910 from the indifferent electrode 1913. Insulator
10 collar 1915 is attached to the distal portion of shaft tubing 1942. The shaft 1914 is covered in shaft insulator 1941. In FIG. 19B, the indifferent electrode 1903 is located within active electrode lumen 1985. In this configuration, a boiling chamber is created wherein any additional material that is grated and scraped into the lumen and not fully ablated or vaporized will increase the impedance between the active
15 and return electrodes to cause further vaporization. As the ring electrode is placed against target tissue and RF energy is delivered through power wire 1960, ablation and vaporization occurs at the current density edge 1919.

FIG. 20A-B illustrate an alternative embodiment of the distal energy application tip 2000 wherein the active electrode 2010 has a complex teeth structure
20 for mechanical grating during ablation and vaporization. In this embodiment, the active electrode 2010 is formed from by machining or cutting curves or teeth into the ring electrode. In this configuration, the current density edges 2019 provide a tooth-like grater to mechanically scrape the target tissue. RF power is delivered by power wire 2060 through insulating block 2062. The active electrode 2010 is
25 insulated from indifferent electrode 2013 by insulating collar 2015. The insulating collar 2015 is located on shaft tubing 2042 which is insulated by shaft insulator 2041. Return wire 2061 is coupled to indifferent electrode 2013 to function as a return to ground at the power supply. While shaft 2014 is shown as linear, it may be

malleable or pre-bent to allow for appropriate access and control within the surgical environment.

EXAMPLE

5 Lateral retinacular release as mentioned above can be accomplished with the use of the concave-tipped RF probe as shown in FIG. 4. First, the knee joint is distended with a clear fluid, usually saline. Initial distention can be done using a large syringe full of saline which is injected into the joint space. Distention forces the bones of the joint apart creating room to introduce instrumentation without damaging the cartilage.

10 Once the instrumentation has been inserted into the joint space, the irrigation tubing and cannulas are positioned and hooked up to provide continual fluid exchange during the procedure. The most common systems are gravity flow or the use of an arthroscopic irrigation pump. Just hanging bags of irrigation fluid on an IV pole raises them 3-4 feet above the operative site. This elevation difference is
15 enough to create pressure to distend and irrigate the joint. The fluid enters the joint through the scope sheath and exits through a cannula placed in the superior lateral portal, or the reverse, through the cannula and out through the scope sheath. The setup is a matter of physician preference. The key to the proper function of either system is that the inflow volume must be larger than the outflow volume. This
20 restriction in the outflow is what creates the back flow that distends the joint. With an arthroscopic irrigation pump, the bags do not need to be raised on an IV pole. The factors controlling distention of the joint are controlled automatically by the pump. The pump monitors the fluid pressure in the joint space using a pressure sensing cannula and automatically increases or decreases fluid flow as needed to
25 provide optimum viewing. As with the gravity flow system, fluid enters the joint cavity through the scope sheath or the cannula in the superior lateral portal. Such an arthroscopic procedure requires the creation of two to five portals (entry ways) into the joint capsule. To create a portal, the surgeon usually begins by

making a small stab wound with a scalpel (e.g., No. 11 blade) at the site of the portal. Next, the wound is enlarged and extended with a trocar encased in a sleeve (cannula) through muscle tissue to the synovial membrane. The trocar is removed, leaving the cannula in place. Then, the surgeon uses a blunt obturator (to avoid
5 damage to menisci and articular cartilage) to puncture through the synovium into the joint cavity. The obturator is removed and the cannula left in place. The cannula can be used to insert an arthroscope or for the inflow and outflow of water. If the surgeon elects to insert instruments percutaneously, the sleeve is removed.

For lateral retinacular release, the surgeon frequently uses three portals, one for the
10 arthroscope, one for the instrument and one for the drain. Additional portals may be created for the surgeon to access other areas of the knee (i.e., to tighten the medial retinaculum) during the procedure. Frequently, a superolateral (above and to the side of the patella) approach is used for the irrigation cannula. For the arthroscope and concave probe, anteromedial and anterolateral approaches often are chosen,
15 because they are relatively safe (minimal potential tissue damage) and most surgeons have more experience with them. Once the arthroscope is viewed, the surgeon may use the concave-tipped probe (without power) to advance to the site of the lateral retinaculum. Having located the lateral retinaculum, the surgeon actuates the RF probe and cuts entirely through the ligament.

20 The foregoing description of a preferred embodiment of the invention has been presented for purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Obviously, many modifications and variations will be apparent to practitioners skilled in this art. It is intended that the scope of the invention be defined by the following claims and their
25 equivalents.

All publications and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each individual publication

or patent application was specifically and individually indicated to be incorporated by reference.

While the invention has been described with respect to its preferred embodiments, it will be appreciated that other alternative embodiments may be included. For example, with respect to all of the explicitly disclosed embodiments, as well as all other embodiments of the invention, monopolar implementation may be achieved by replacing the return electrode on the probe with a separate return electrode, or alternatively, simply providing an additional electrode as a return electrode on the body of a patient electrically utilizing the return electrode on the probe. These and various other modifications can be made to the disclosed embodiment without departing from the subject of the invention.

CLAIMS

What is claimed is:

1. A surgical apparatus, comprising:
an energy application tip including:
a length of shaft; and
an active electrode having a curved current density edge with at least one convex surface.
2. The surgical apparatus of claim 1, wherein said length of shaft includes a substantially linear section.
3. The surgical apparatus of claim 2, wherein said curved current density edge defines a crossfire pattern.
4. The surgical apparatus of claim 2, wherein said curved current density edge defines a cloverleaf pattern.
5. The surgical apparatus of claim 2, wherein said curved current density edge defines an ashtray pattern.
6. The surgical apparatus of claim 2, wherein said curved current density edge defines a dome pattern.
7. The surgical apparatus of claim 2, wherein said curved current density edge defines a dome with dimple pattern.
8. The surgical apparatus of claim 2, further comprising an insulating collar coupled to a distal end of said shaft.
9. The surgical apparatus of claim 8, wherein said length of shaft includes a return indifferent electrode that defines said distal end of said length of shaft.

10. The surgical apparatus of claim 9, further comprising a return wire coupled to said return indifferent electrode.
11. The surgical apparatus of claim 1, wherein said length of shaft includes a curved section having a substantially constant radius of curvature.
12. The surgical apparatus of claim 11, wherein said curved current density edge defines a crossfire pattern.
13. The surgical apparatus of claim 11, wherein said curved current density edge defines a cloverleaf pattern.
14. The surgical apparatus of claim 11, wherein said curved current density edge defines an ashtray pattern.
15. The surgical apparatus of claim 11, wherein said curved current density edge defines a dome pattern.
16. The surgical apparatus of claim 11, wherein said curved current density edge defines a dome with dimple pattern.
17. The surgical apparatus of claim 11, further comprising an insulating collar coupled to a distal end of said shaft.
18. The surgical apparatus of claim 17, wherein said length of shaft includes a return indifferent electrode that defines said distal end of said length of shaft.
19. The surgical apparatus of claim 18, further comprising a return wire coupled to said return indifferent electrode.
20. The surgical apparatus of claim 1, wherein said length of shaft includes an arcuate section.

21. The surgical apparatus of claim 20, wherein said curved current density edge defines a crossfire pattern.
22. The surgical apparatus of claim 20, wherein said curved current density edge defines a cloverleaf pattern.
23. The surgical apparatus of claim 20, wherein said curved current density edge defines an ashtray pattern.
24. The surgical apparatus of claim 20, wherein said curved current density edge defines a dome pattern.
25. The surgical apparatus of claim 20, wherein said curved current density edge defines a dome with dimple pattern.
26. The surgical apparatus of claim 20, further comprising an insulating collar coupled to a distal end of said shaft.
27. The surgical apparatus of claim 26, wherein said length of shaft includes a return indifferent electrode that defines said distal end of said length of shaft.
28. The surgical apparatus of claim 27, further comprising a return wire coupled to said return indifferent electrode.
29. The surgical apparatus of claim 1, wherein said length of shaft includes a curved section having a substantially constant radius of curvature.
30. The surgical apparatus of claim 29, wherein said curved current density edge defines a crossfire pattern.
31. The surgical apparatus of claim 29, wherein said curved current density edge defines a cloverleaf pattern.

32. The surgical apparatus of claim 29, wherein said curved current density edge defines an ashtray pattern.
33. The surgical apparatus of claim 29, wherein said curved current density edge defines a dome pattern.
34. The surgical apparatus of claim 29, wherein said curved current density edge defines a dome with dimple pattern.
35. The surgical apparatus of claim 29, further comprising an insulating collar coupled to a distal end of said shaft.
36. The surgical apparatus of claim 35, wherein said length of shaft includes a return indifferent electrode that defines said distal end of said length of shaft.
37. The surgical apparatus of claim 36, further comprising a return wire coupled to said return indifferent electrode.
38. A method of surgically treating a mammal in need thereof, comprising:
providing a surgical instrument including a length of shaft and an active electrode having a curved current density edge with at least one convex surface; and
ablating a tissue surface with said surgical instrument.
39. The method of claim 38, wherein ablating said tissue surface includes scraping said tissue surface.
40. The method of claim 38, wherein ablating said tissue surface includes sculpting said tissue surface.
41. A surgical apparatus for ablating tissue, comprising:
a energy application tip including:
a length of shaft; and

a means for defining a curved current density edge with at least one convex surface

42. The surgical apparatus of claim 41, wherein said length of shaft includes a substantially linear section.
43. The surgical apparatus of claim 41, wherein said length of shaft includes a curved section having a substantially constant radius of curvature.
44. The surgical apparatus of claim 41, wherein said length of shaft includes a curved section having a substantially constant radius of curvature.
45. A surgical apparatus, comprising:
an energy application tip including:
a length of shaft tubing; and
an active electrode having a curved current density edge with at least one convex surface.
46. The surgical apparatus of claim 45, wherein said active electrode is adjacent an inner surface of said length of shaft tubing.
47. The surgical apparatus of claim 46, further comprising a return indifferent electrode adjacent an outer surface of said length of shaft tubing.
48. The surgical apparatus of claim 45, wherein said active electrode is adjacent an outer surface of said length of shaft tubing.
49. The surgical apparatus of claim 48, further comprising a return indifferent electrode adjacent an outer surface of said length of shaft tubing.
50. The surgical apparatus of claim 45, wherein said active electrode define a plurality of longitudinal recesses that are substantially parallel to an axis defined by said length of shaft tubing.

51. The surgical apparatus of claim 45, wherein said length of shaft tubing includes a substantially linear section.
52. The surgical apparatus of claim 45, wherein said length of shaft tubing includes a curved section having a substantially constant radius of curvature.
53. The surgical apparatus of claim 45, wherein said length of shaft tubing includes a curved section having a substantially constant radius of curvature.
54. A surgical system for directing thermal energy to tissue, comprising:
a power supply;
a probe coupled to the power supply by cabling means, the probe having a handle and a shaft including a proximal end and a distal end, the shaft having at least one lumen;
an active electrode electrically coupled to the power supply, the active electrode being positioned on the distal end of the probe, the active electrode having an energy application surface; and
an indifferent electrode electrically coupled to the power supply.
55. The surgical system according to claim 54, wherein the distal end includes an insulating base member.
56. The surgical system according to claim 54, wherein the active electrode is configured for vaporizing a tissue structure.
57. The surgical system according to claim 54, wherein the active electrode is configured for sculpting a tissue structure.
58. An RF probe comprising:
a handle;
a shaft coupled to the handle, the shaft having a proximal end and a distal tip;

an active electrode positioned at or near the distal tip, the active electrode having a energy application surface; and
an indifferent electrode.

59. The RF probe according to claim 58, wherein the indifferent electrode is formed from a portion of the shaft.

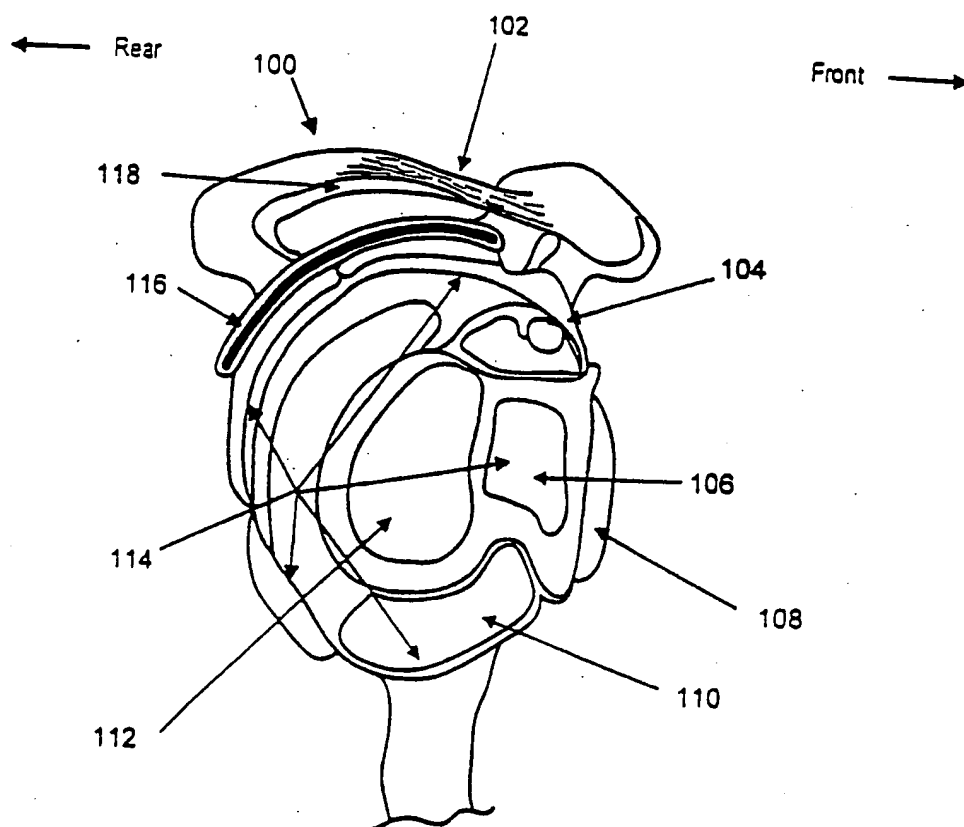
60. The RF probe according to claim 58, wherein the indifferent electrode is a grounding pad.

61. A method for vaporizing tissue structures within a body comprising:
providing an RF probe with a distal tip with complex curves;
approximating the RF probe to the tissue structures to be vaporized; and
applying RF energy through the complex curves, thereby vaporizing the tissue structures.

62. The method according to Claim 61, wherein the RF probe is concavo-convex.

1/16
27
43

Glenohumeral Joint Lateral (side) View



2/16

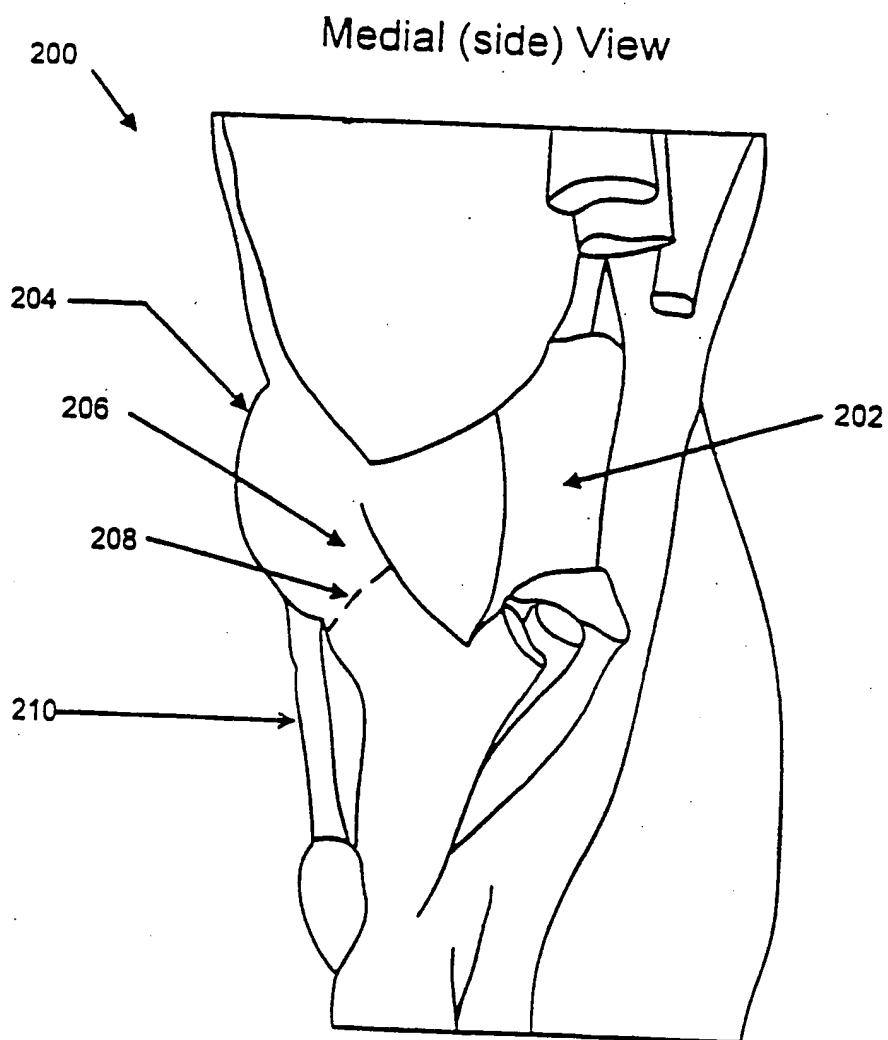


FIG. 2

3/16

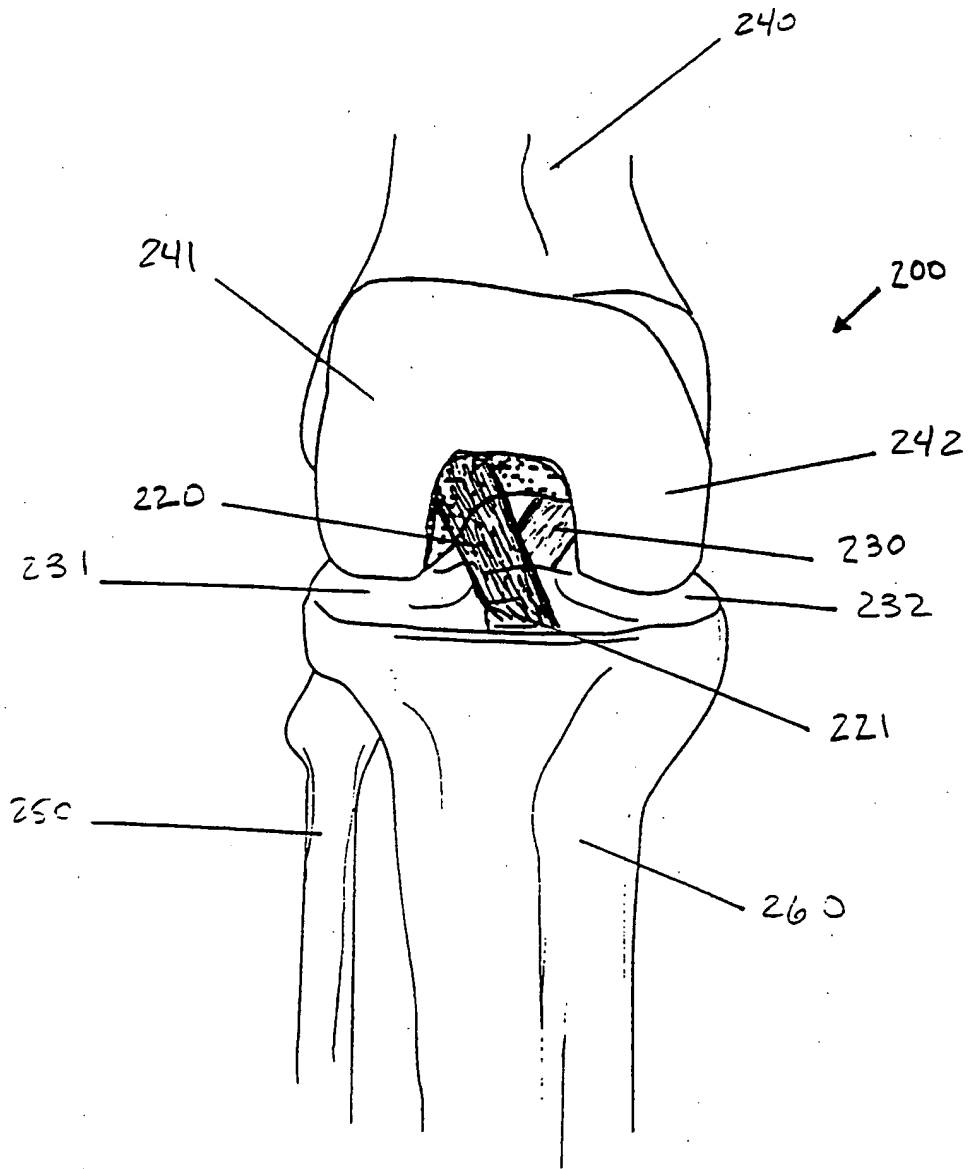


FIG. 3

KNEE JOINT
(ANTERIOR VIEW)

4/16

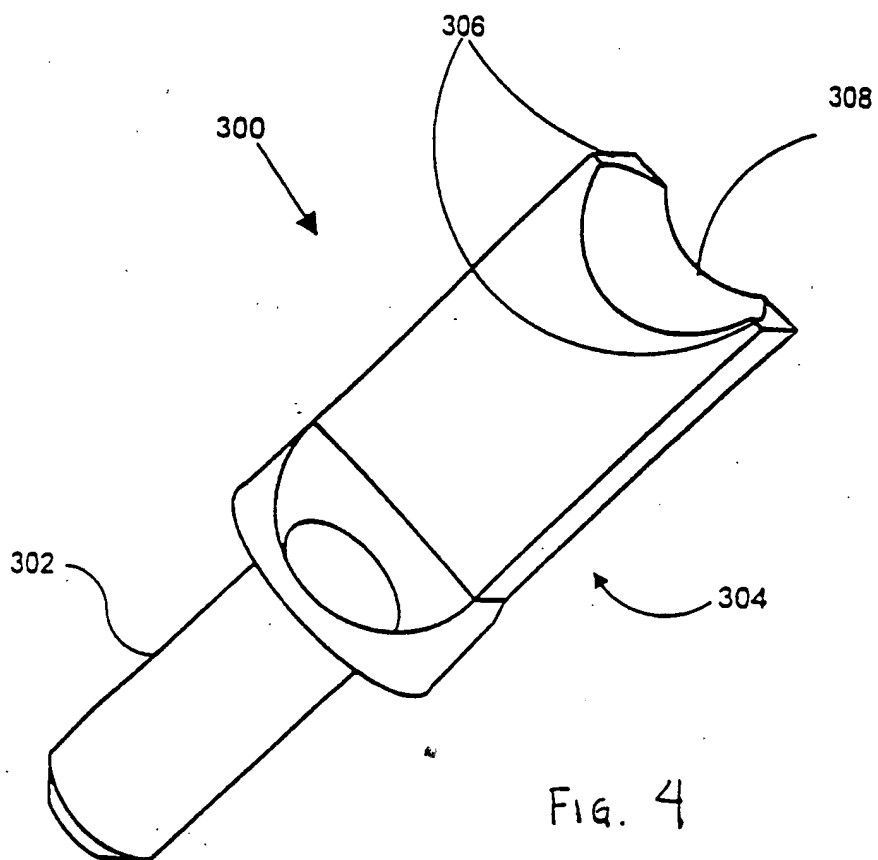


Fig. 4

5/16

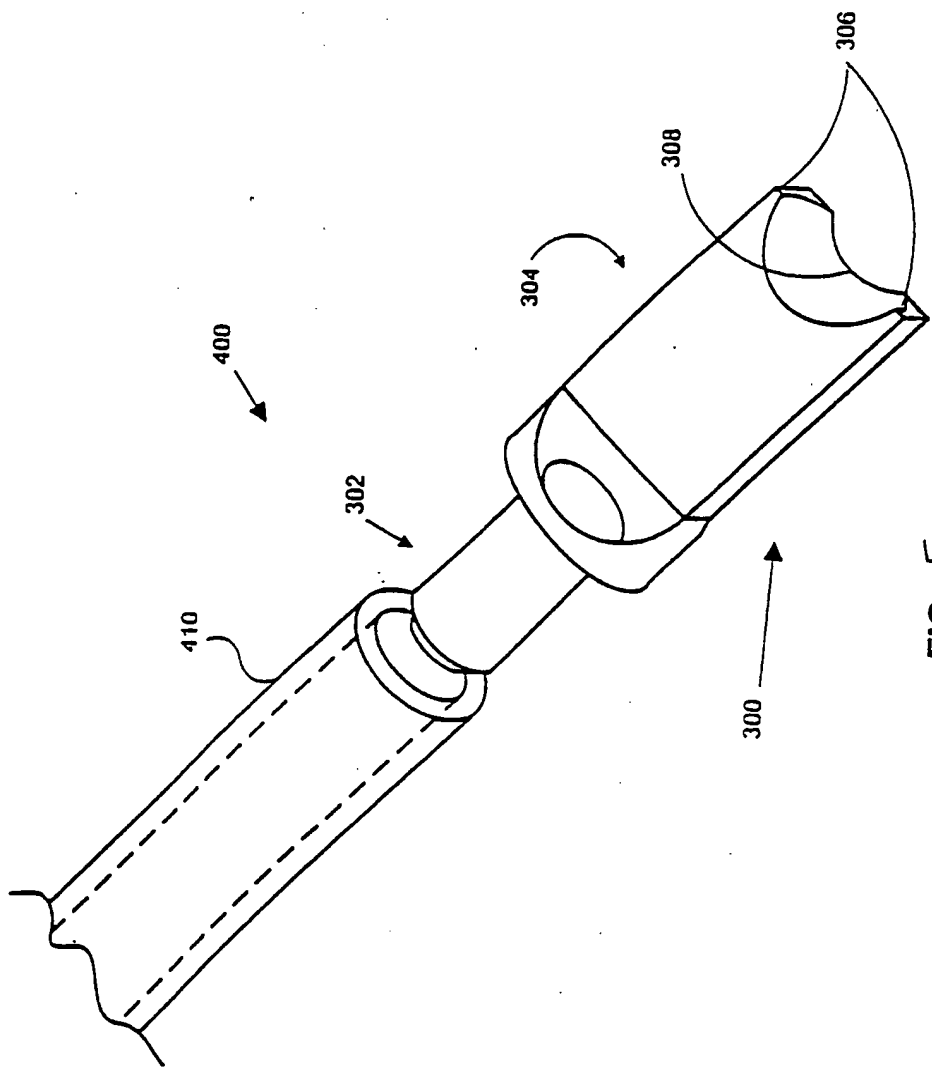


FIG. 5

6/16

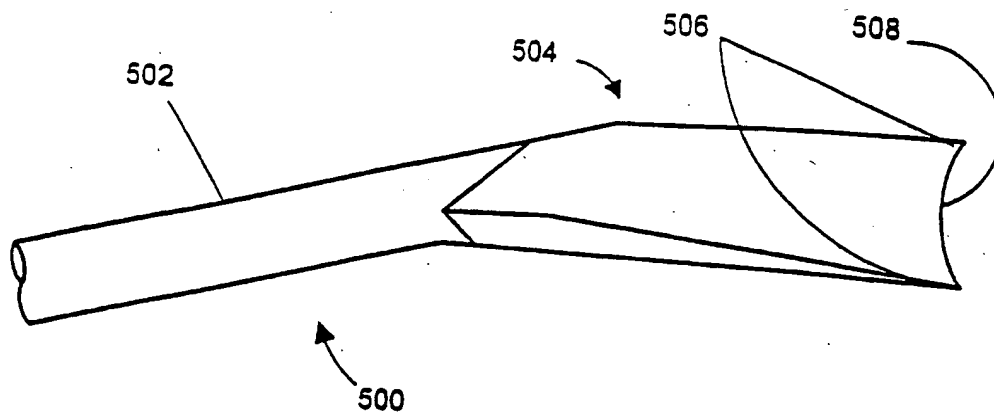


FIG. 6A

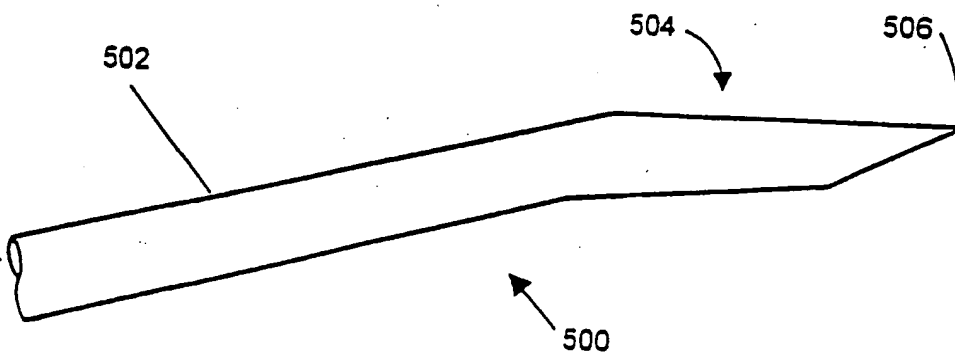
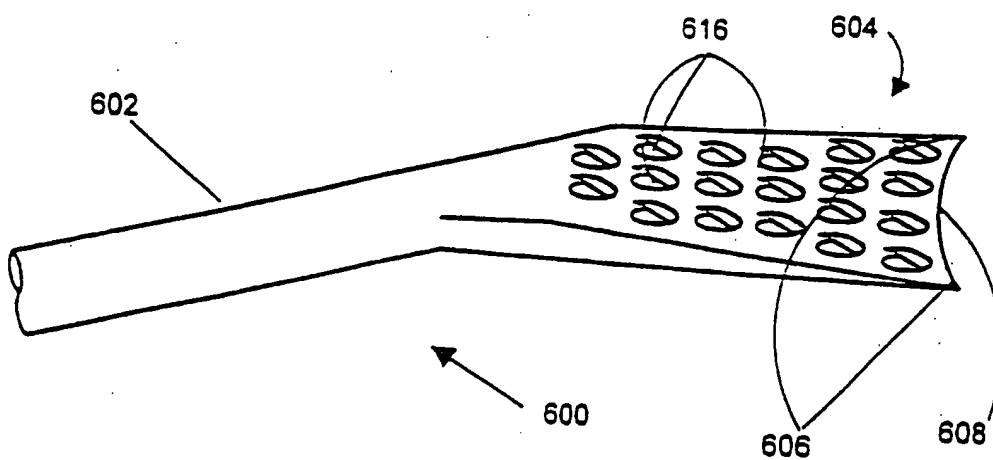


FIG. 6B



7/16

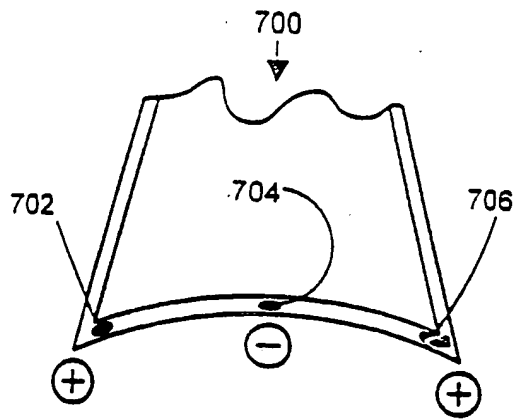


FIG. 7

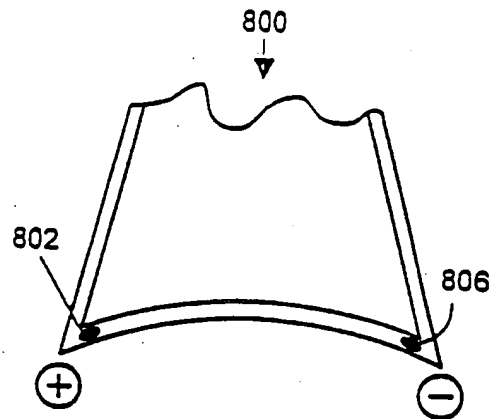


FIG. 8

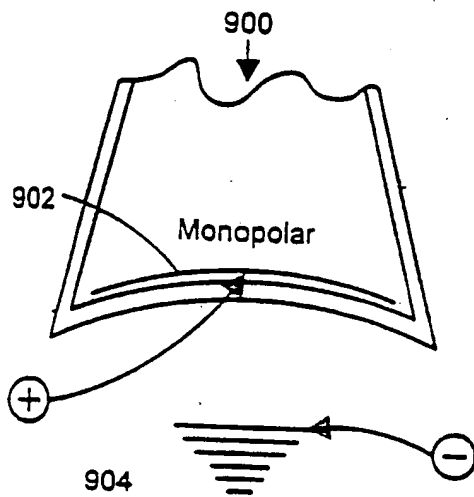


FIG. 9

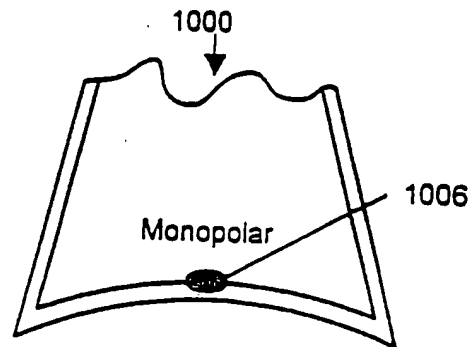


FIG. 10

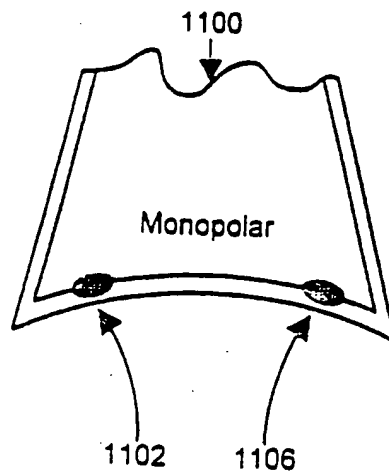
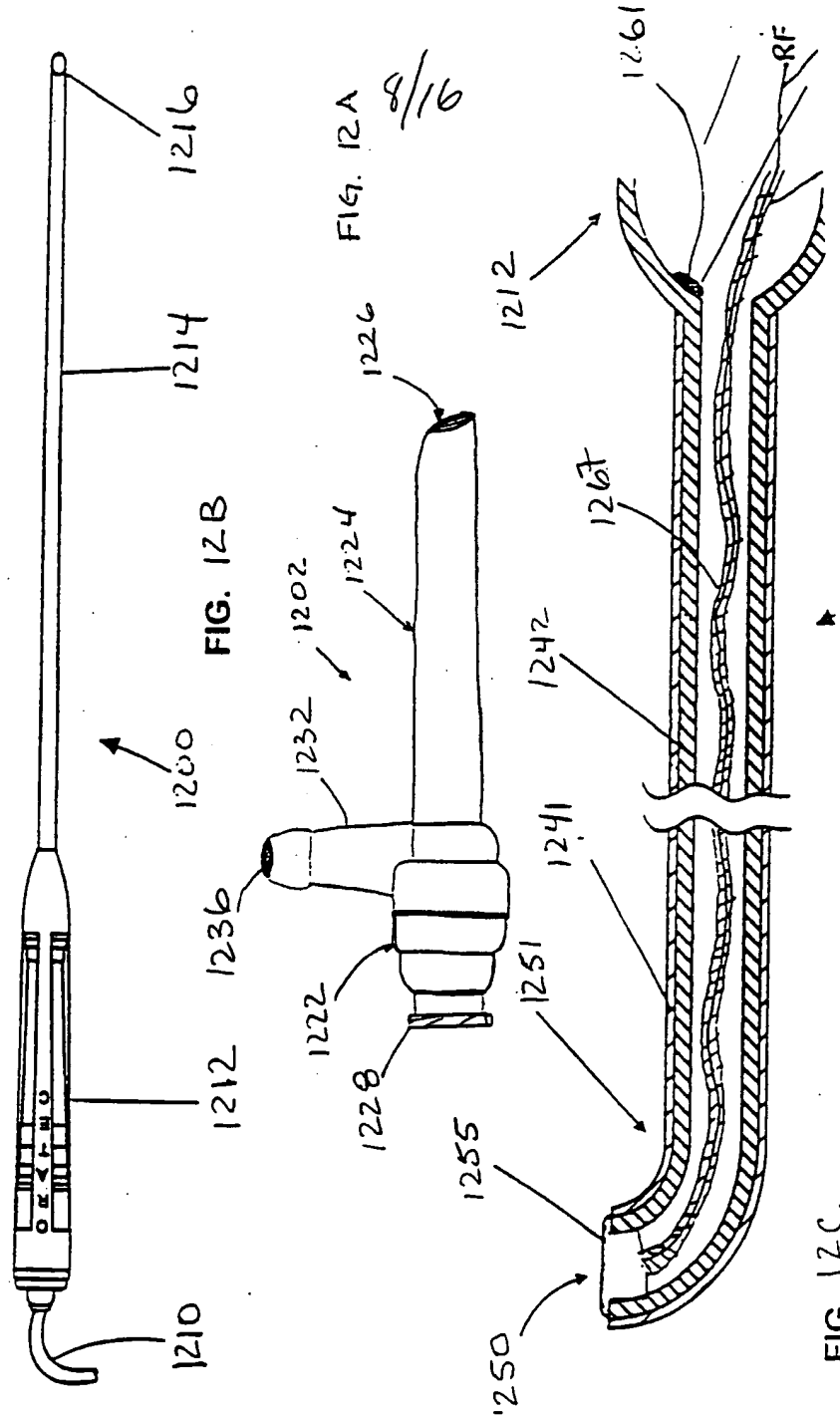


FIG. 11



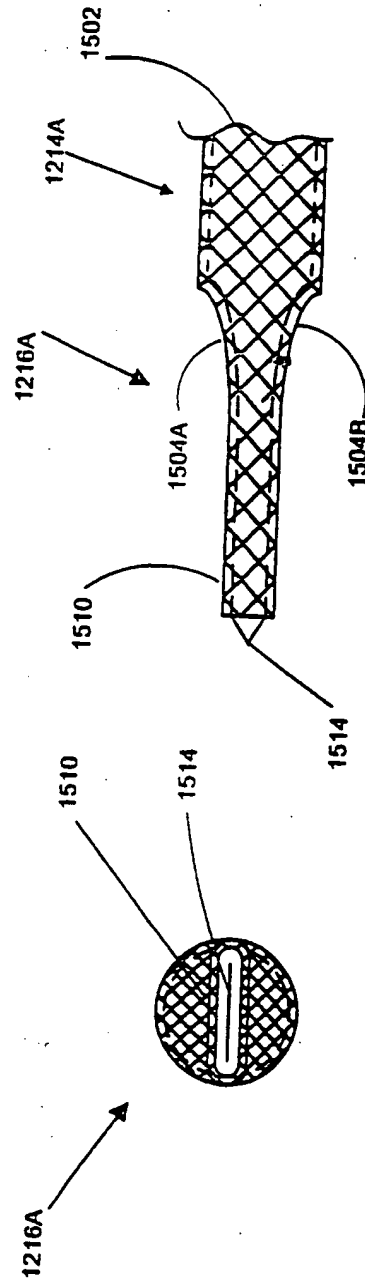


FIG. 13 B

FIG. 13 A

9/16

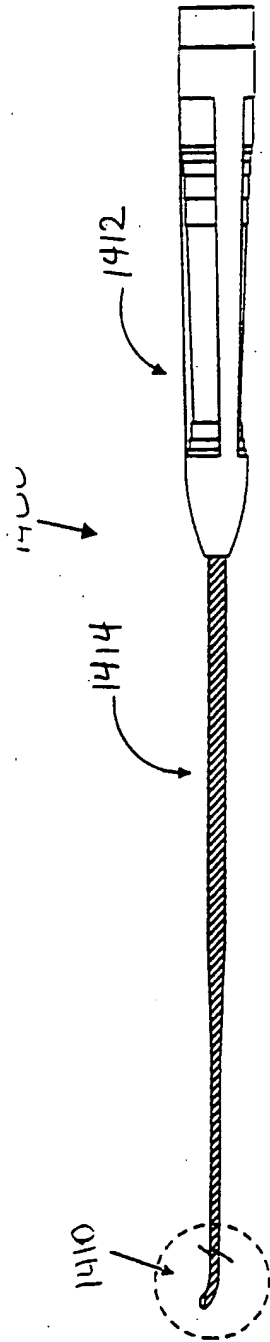


FIG. 14A

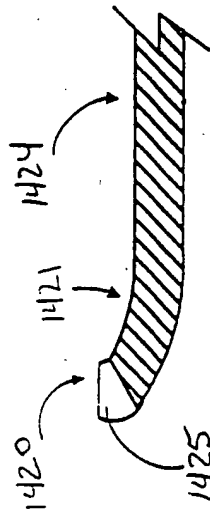


FIG. 14B

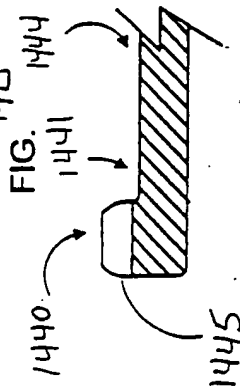


FIG. 14C

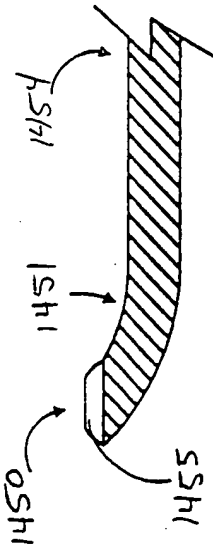


FIG. 14E

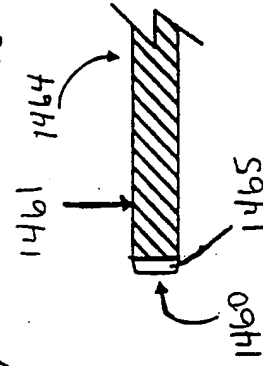


FIG. 14F

10/16

11/16

Electrode Designs,

Scraper/Ablator

Crossfire

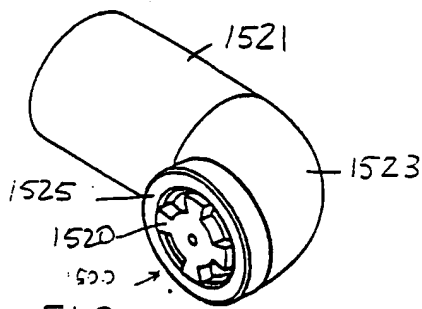


FIG. 15A

Cloverleaf

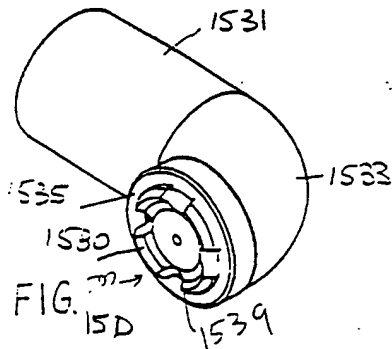


FIG. 15D

Ashtray

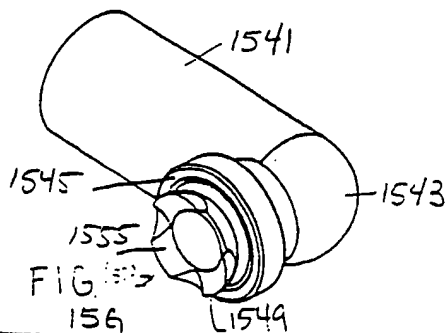


FIG. 15G

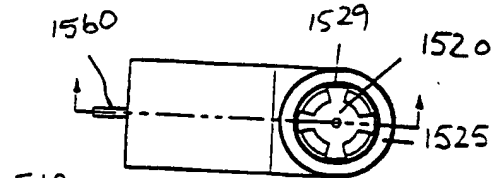


FIG. 15B

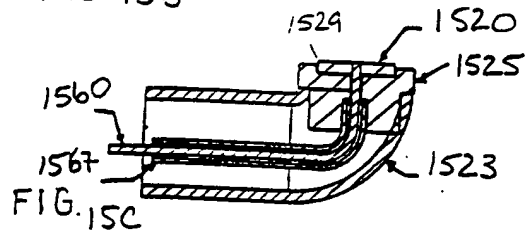


FIG. 15C

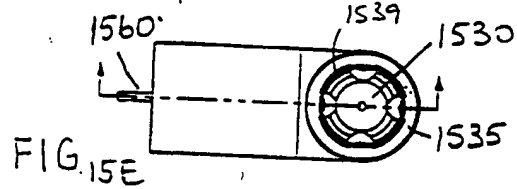


FIG. 15E

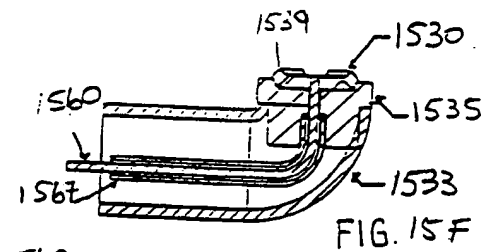


FIG. 15F

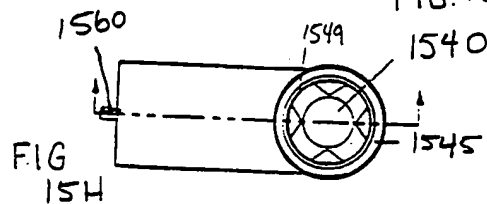


FIG. 15H

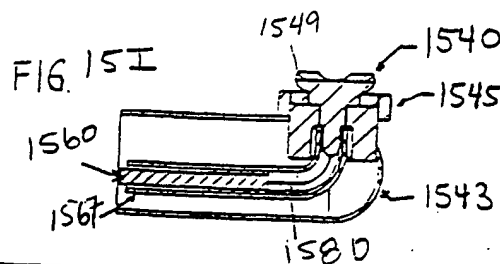


FIG. 15I

Electrode Designs,

Sculptor/Abator

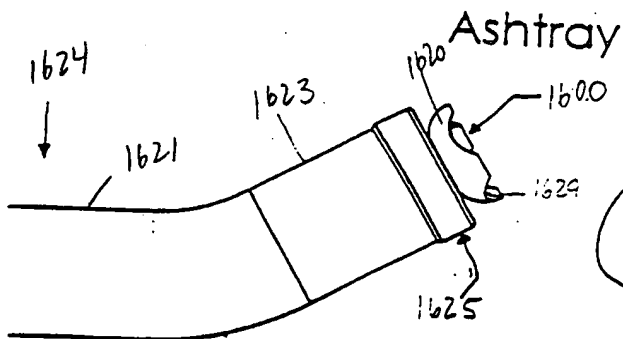


FIG. 16A

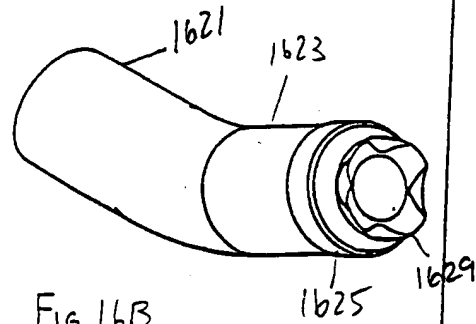


FIG. 16B

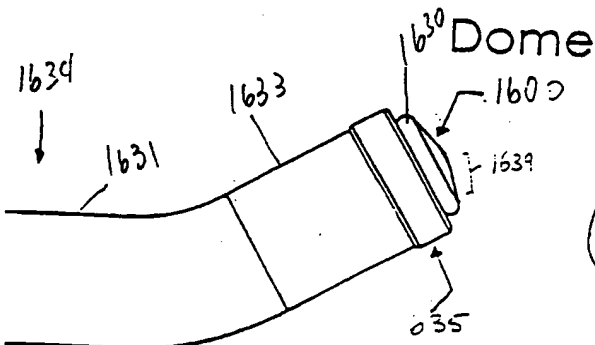


FIG. 16C

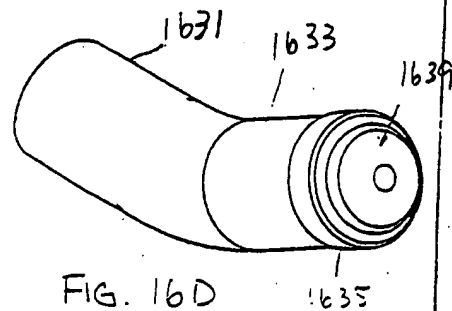


FIG. 16D

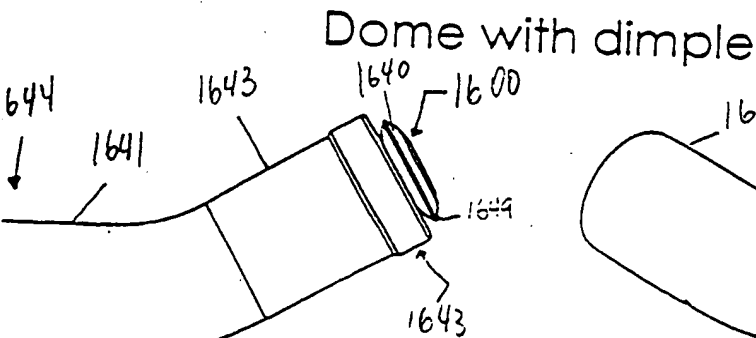


FIG. 16E

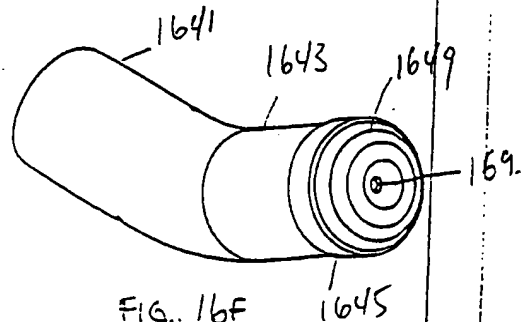
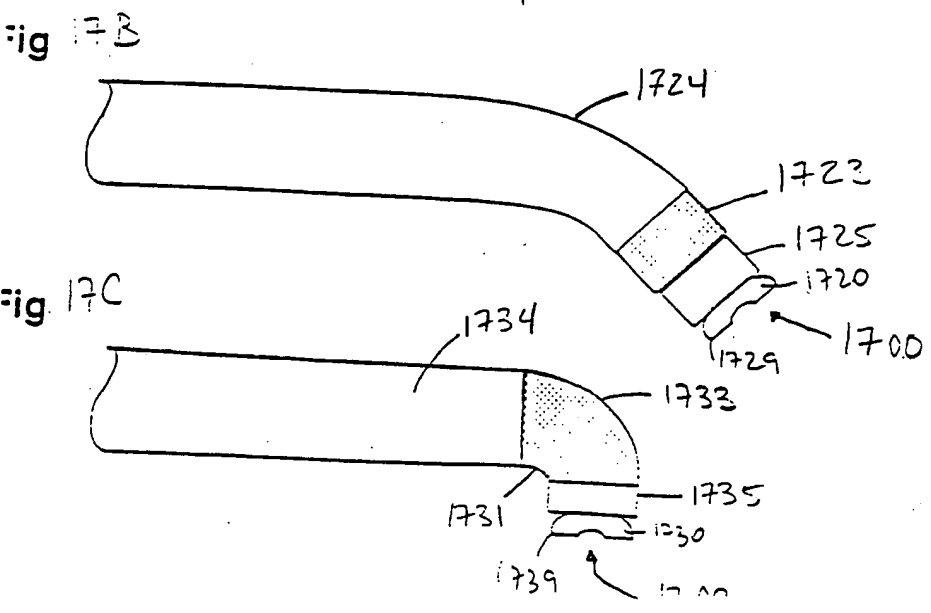
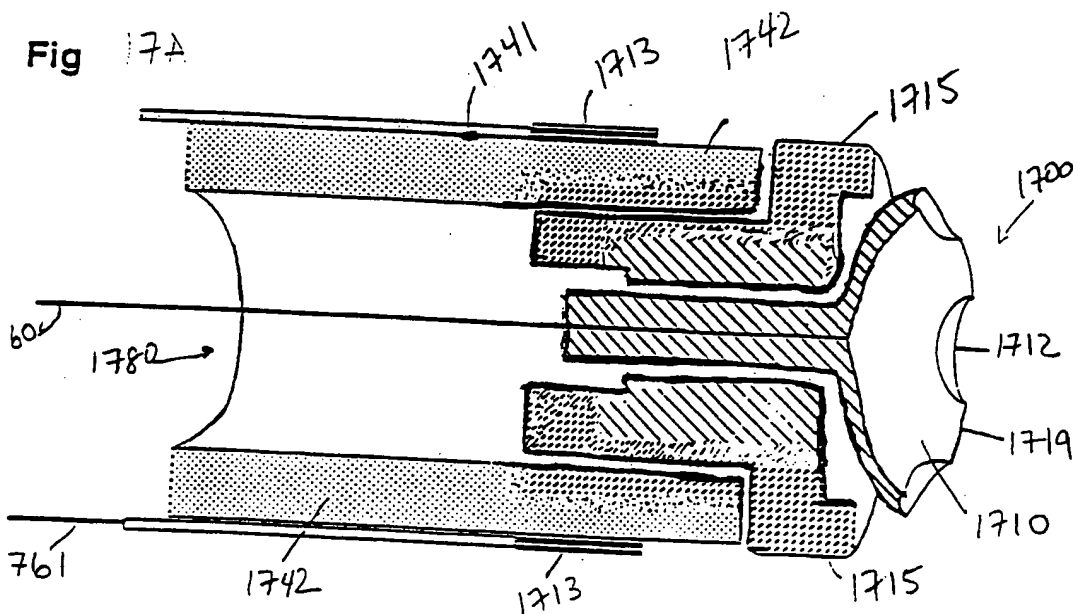


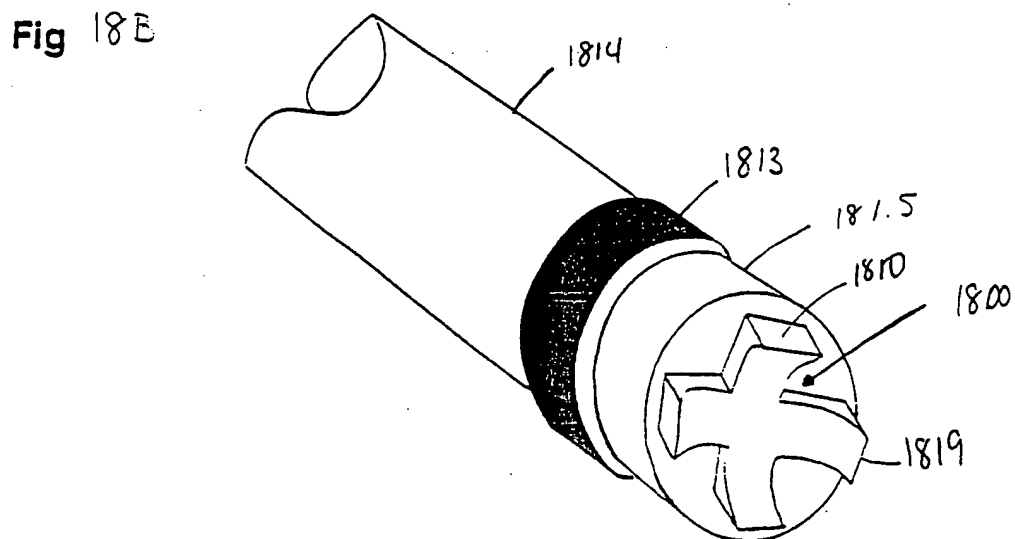
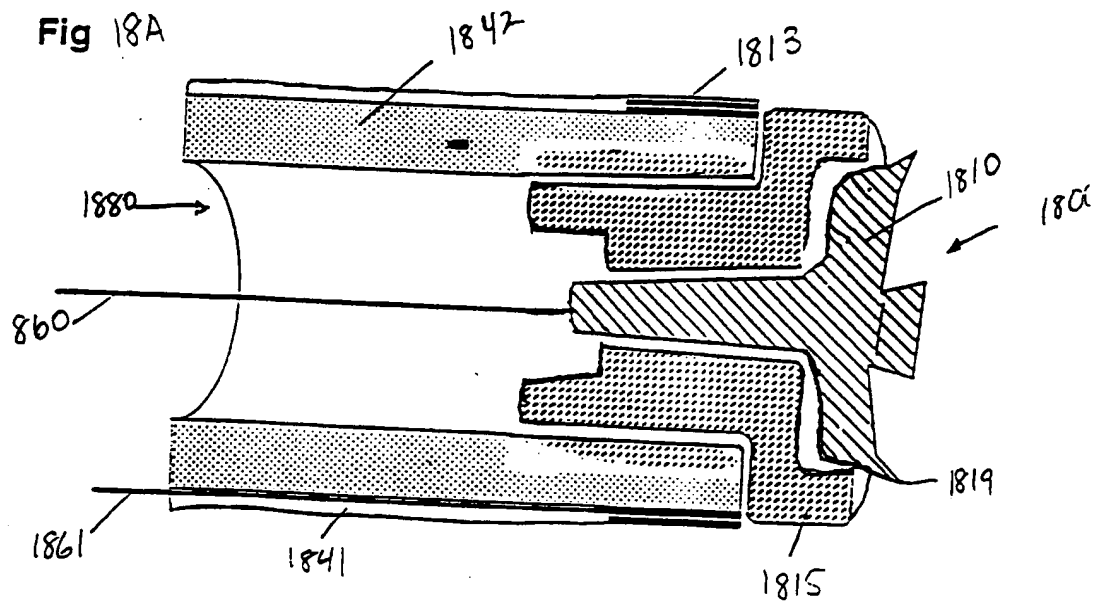
FIG. 16F

Ashtray Electrode 13/16 Unipolar



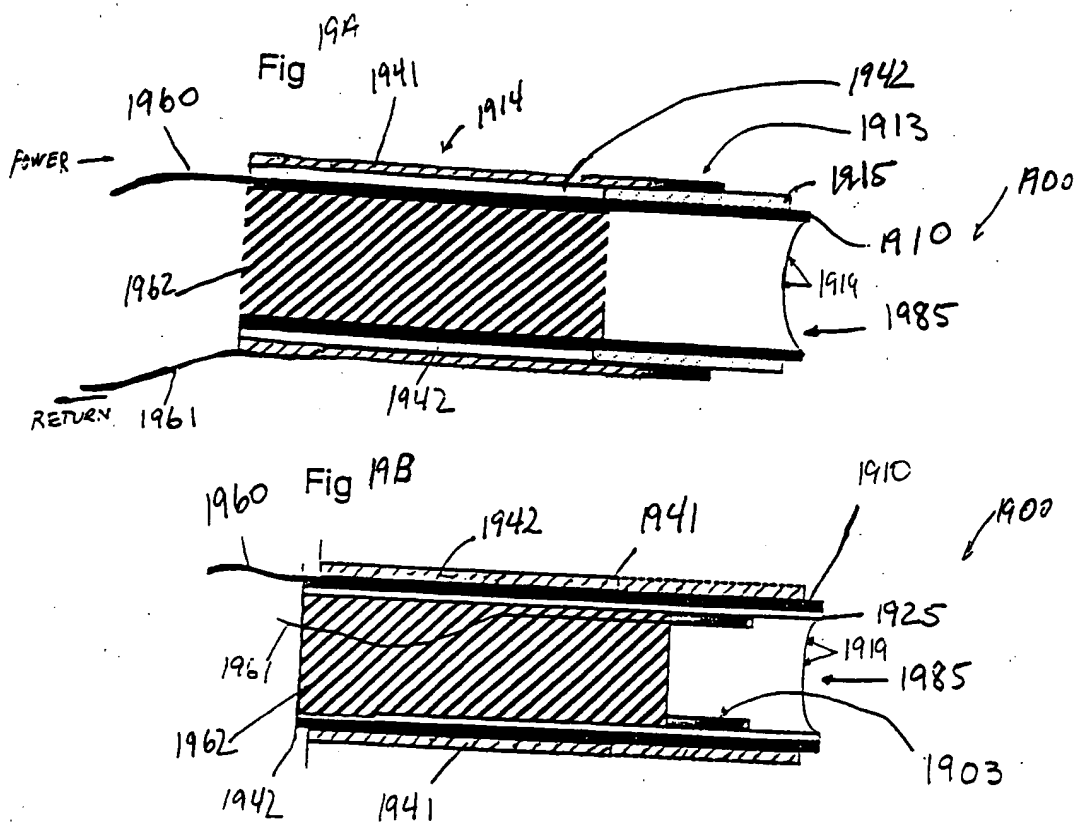
14/16

**Cross Fire
Unipolar, Electrode**



15/16

Mechanical grating configuration of Active Electrode



INTERNATIONAL SEARCH REPORT

Int. .onal Application No

PCT/US 00/15359

A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 A61B18/14

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 009 656 A (REIMELS HARRY G) 23 April 1991 (1991-04-23) column 3, line 6-9 column 3, line 44-56; figures 2,3,7 ---	1,2,6, 10,18, 20,35, 36,41, 42, 45-48, 51,54-59
A	EP 0 648 475 A (UNITED STATES SURGICAL CORP) 19 April 1995 (1995-04-19) ---	
A	US 5 785 705 A (BAKER JAMES) 28 July 1998 (1998-07-28) summary of the invention ---	1
-/--		

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

Date of the actual completion of the international search

21 September 2000

Date of mailing of the international search report

28/09/2000

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nt,
Fax: (+31-70) 340-3016

Authorized officer

Papone, F

INTERNATIONAL SEARCH REPORT

Int. .ional Application No
PCT/US 00/15359

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 98 34558 A (FANTON GARY S ;SHARKEY HUGH R (US); STEWART DAREN L (US); WEISSMAN) 13 August 1998 (1998-08-13) the whole document -----	1,41,45, 54,58

INTERNATIONAL SEARCH REPORT

Information on patent family members

Int'l. Application No

PCT/US 00/15359

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5009656 A	23-04-1991	NONE	
EP 0648475 A	19-04-1995	CA 2132503 A US 5554164 A	08-04-1995 10-09-1996
US 5785705 A	28-07-1998	US 5514130 A AU 7476696 A NL 1004269 C NL 1004269 A WO 9715238 A	07-05-1996 15-05-1997 13-05-1997 25-04-1997 01-05-1997
WO 9834558 A	13-08-1998	AU 6156198 A EP 1006908 A	26-08-1998 14-06-2000